



COPY

DOCKET NO. 3524/4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Kevin Foley et al.
Serial No. : 09/412,408
Filed : October 5, 1999
Title : ELECTRONIC TRADING SYSTEM SUPPORTING
ANONYMOUS NEGOTIATION AND INDICATORS OF
INTEREST

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Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is an Information Disclosure Statement by Applicant form and a copy of each document listed therein.

Additionally, the following copending U.S. patent application is disclosed and a copy is enclosed.

U.S. Serial No.: 09/562,506
Filing Date: May 1, 2000
Title: SYSTEM AND METHOD FOR PROCESSING TRADES USING
VOLUME WEIGHTED-AVERAGE PRICING TECHNIQUES
Applicant: Bang Kim et al.
Atty. Docket No.: 3524/11

The Examiner is requested to consider the enclosed documents, make them of record, and indicate his or her consideration of the respective document by initialing the enclosed citation form adjacent the citation of the respective document.

I hereby certify that this paper is being deposited this date with the
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Assistant Commissioner for Patents, Washington, D.C. 20231


Name


7-18-00

Date

Please return a copy of the initialed citation form to the applicant's undersigned Attorney.

Respectfully submitted,

Dated: 7-18, 2000



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